

Box Patent Application Commissioner of Patents and Trademarks Washington, DC 20231

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of

Inventor(s): Edlund et al.

WARNING: Patent must be applied for in the name(s) of all of the actual inventor(s). 37 CFR 1.41(a) and 1.53(b).

For (title): A System and Method for Scheduled Events to Subscribe to Live Information Topics

1. Type of Application

This new application is for a(n) (check one applicable item below):				
<u>X</u>	Utility			
	Design			
	Plant			
	following 3 items apply them complete and attach ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE IOR U.S. APPLICATION CLAIMED and a NOTIFICATION IN PARENT APPLICATION OF THE FILING OF THIS PPLICATION.			
	Divisional			
	Continuation			

2. Benefit of Prior U.S. Application(s) (35 USC 120)

Continuation-in-part (CIP)

NOTE: If the new application being transmitted is a divisional, continuation or a continuation-in-part of a parent case, or where the parent case is an International Application which designated the U.S., then check the following item and complete and attach ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED.

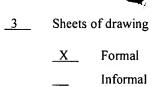
 The new application being transmitted claims the benefit of prior U.S. application(s) and enclosed are
ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S.
APPLICATION(S) CLAIMED.

3. Papers Enclosed Which Are Required For Filing Date Under 37 CFR 1.53(b) (Regular) or 37 CFR 1.153 (Design) Application

10	Pages of Specification and Abstract
<u>7 ·</u>	Pages of Claims



5.

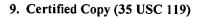


NOTE: "Identifying indicia such as the serial number, group and unit, title of the invention, attorney's docket number, inventor's name, number of sheets, etc., not to exceed 2 3/4 inches (7.0cm in width may be placed in a centered location between the side edges within three fourths inch (19.1mm) of the top edge. Either this marking technique on the front of the drawing or the placement, although not preferred, of this information and the title of the invention on the back of the drawings is acceptable." Proposed 37 CFR 1.84(1). Notice of March 9, 1988 (1090 O.G. 57-62).

4. A	dditional l	Papers Enclosed
		Preliminary Amendment
	<u>X</u>	Information Disclosure Statement (37 CFR 1.98)
	<u>X</u>	Form PTO-1449
	<u>X</u>	Citations (2 References)
		Declaration of Biological Deposit
		Submission of "Sequence Listing," computer readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino acid sequence.
		Authorization of Attorney(s) to Accept and Follow Instructions from Representative
		Special Comments
		Other
5. De	claration	or Oath
	<u>X</u>	Enclosed executed by (check all applicable boxes)
		X inventor(s).
		legal representative of inventor(s). 37 CFR 1.42 or 1.43
		joint inventor or person showing a proprietary interest on behalf of inventor who refused to sign or cannot be reached.
		this is the petition required by 37 CFR 1.47 and the statement required by 37 CFR 1.47 is also attached. See Item 13 below for fee.

			AM9-99-0216
	Not End	closed	
		tion is made by a person authorized under 37 CFR 1. r(s). (The declaration or oath, along with the surcharge tently).	
NOTE: It is importan	nt that all th	ne correct inventor(s) are named for filing under 37 CFR 1.41(c) a	and 1.53(b).
		Showing that the filing is authorized. (Not require 1.41(d).	ed unless called into question. 37 CFR
6. Inventorship	Stateme	ent	
		tors are each not the inventors of all the claims an explanation, incl was made, should be submitted.	uding the ownership of the various claims at the
The Inv	entorship/	o for all the claims in this application are:	
	<u>X</u>	the same	
		or	
•	_	are not the same. An explanation, including the own the last claimed invention was made.	ership of the various claims at the time
		is submitted	
		will be submitted	
7. Language			
<u>X</u>	English		
	non-Eng	glish	
		The attached translation is a verified translation. 37	CFR 1.52(d).
8. Assignment			
<u>X</u>	An assig	gnment of the invention to <u>INTERNATIONAL BUS</u>	INESS MACHINES CORPORATION
<u>X</u>	is attach	ned. A separate	
	<u>x</u>	"COVER SHEET FOR ASSIGNMENT (DOCI PATENT APPLICATION" or FORM PTO 1595 is also attached.	UMENT) ACCOMPANYING NEW
	will foll	ow.	

NOTE: "If an assignment is submitted with a new application, send two separate letters-one for the application and one for the assignment." Notice of May 4, 1990 (1114 O.G. 77-78).



Certified copy(ies) of application(s):

(country)	(appln. no:)	(filed)
(country)	(appln. no)	(filed)

from	which	priority	is	claimed
пош	WILL	priority	12	Claimicu

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IS/ALC	allaci	1150

___ will follow.

10. Fee Calculation (37 CFR 1.16)

A. X Regular application

CLAIMS AS FILED						
Number filed			Num. Extra		Rate	Basic Fee \$690.00
Total Claims	21	-20=	1	X	\$18.00	\$18.00
Independent Claims	4	-3=	1	X	\$78.00	\$78.00
Multiple dependent claim(s), if any	0				\$260.00	\$0.00

 Amenament	canceling	extra	claims	enclosed.
 Amendment	cancening	Схиа	Ciaiiiis	enciosea.

____ Amendment deleting multiple dependencies enclosed.

___ Fee for extra claims is not being paid at this time.

NOTE: If the fees for extra claims are not paid on filing, they must be paid or the claims cancelled by amendment, prior to the expiration of the time period set for response by the Patent and Trademark Office in any notice of fee deficiency. 37 CFR 1.16(d).

		Filing Fee Calculation	\$ <u>786.00</u>
В.	_	Design application (\$310.0037 CFR 1.16(f)) Filing Fee Calculation	\$
C.	_	Plant application (\$480.0037 CFR 1.16(g)) Filing Fee Calculation	\$



11. Small Entity Statement(s)

	_	Verified Statement(s) that this is a filing by a small entity under 37 CFR 1.9 and 1.27 is/are attached				
			Filing Fee Calculation (50% of A, B or C above)	\$		
12. Reque	est for l	Interna	tional-Type Search (complete, if applicable)			
_	Please prepare an international-type search report for this application at the time when national examination on the merits takes place.					
13. Fee Pa	yment	Being	Made At This Time			
_	_	Not En	closed			
No filing fee is to be paid at this time. (This and the surcharge required by 3 can be paid subsequently.)				surcharge required by 37 CFR 1.16(e)		
_>	<u>(</u>	Enclose	ed			
	-	X	basic filing fee	\$ 786.00		
	-	X	recording assignment (\$40.00; 37 CFR 1.21(h))	\$_40.00		
	-		petition fee for filing by other than all the inventors or person on behalf of the inventor where inventor refused to sign or cannot be reached. (\$130.00; 37 CFR 1.47 and 1.17(h))	\$		
	-		for processing an application with a specification in a non-English language. (\$130.00; 37 CFR 1.52(d) and 1.17(k))	\$		
	-		processing and retention fee (\$130.00; 37 CFR 1.53(d) and 1.21(l))	\$		
	-		fee for international-type search report (\$40.00; 37 CFR 1.21(e))	\$		
			Total fees enclosed	\$ 826.00		
14. Method	d of Pa	yment	of Fees			
<u>X</u>	(Check in	n the amount of \$ <u>826.00</u> .			
_		Charge ittached	Account No. 12-0010 in the amount of \$	A duplicate of this transmittal is		



NOTE: Fees should be itemized in such a manner that it is clear for which purpose the fees are paid.

15. Authorization to Charge Additional Fees

WARNING: If no fees are to be paid on filing, the following items should not be completed.

WARNING: Accurately count claims, especially multiple dependent claims, to avoid unexpected high charges, if extra claim charges are authorized.

<u>X</u>		The Commissioner is hereby authorized to charge the following additional fees by this paper and during the entire pendency of this application to Account No. <u>12-0010</u> :	
		37 CFR 1.16(a), (f) or (g) (filing fees)	
		37 CFR 1.16(b), (c) or (d) (presentation of extra claims)	
	<u>X</u>	Any deficiencies in the fees provided.	
NOTE: Because additional fees for excess or multiple dependent claims not paid on filing or on later presentation must only be paid or these claims and paid on filing or on later presentation must only be paid or these claims and an an another prior to the expiration of the time period set for response by the PTO in any notice of fee deficiency, it might be best to authorize the PTO to charge additional fees, except possibly when dealing with amendments after final action.			
		37 CFR 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later	
		than the filing date of the application.)	
		37 CFR 1.17 (application processing fees)	
		37 CFR 1.18 (issue fee at or before mailing of Notice of Allowance, pursuant to 37 CFR	
		1.311(b)).	
16 Instruction	A o To O		
16. Instruction	AS 10 O	verpayment	
<u>X</u>	credit A	ccount No. <u>12-0010</u>	
	refund		



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CIONATURE OF A PRI ICANTES PER

Reg. No. 34,368 Tel. No. (703) 415-1015 SIGNATURE OF APPLICANT'S REPRESENTATIVE Randy W. Lacasse

Lacasse & Associates 2001 Jefferson Davis Hwy, Suite 806 Arlington, VA 22202

<u>X</u>	Incorporation by reference of added pages			
		Check the following item if the application in this transmittal claims the benefit of prior U.S. application(s) (including an international application entering the U.S. stage as a continuation, divisional or C-I-P application) and complete and attach the ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED.		
	_	Plus added pages for new application transmittal where benefit of prior U.S. application(s) claimed.		
		Number of pages added		
	X	Plus added pages for papers referred to in Item 4 above		
		Number of pages added 2 pages plus 2 references		
	<u>X</u>	Plus "Assignment Cover Letter Accompanying New Application"		
		Number of pages added <u>3 pages</u>		

Statement Where No Further Pages Added